

# Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008  
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Email: secretarial@lrtiil.co.in/ satishagarwal307@yahoo.com  
CIN: L67120WB1981PLC033628

**The President**  
**Kolkata Stock Exchange Ltd**  
7- Lyons Range  
Kolkata - 700001

**Date: January 06, 2018**

Ref	CSE Scrip Code = 22055 & 10022055
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**Sub:** Corporate Governance Report under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter ended on December 31, 2017.

Dear Sir/Madam,

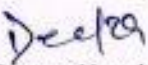
Pursuant to the requirement of Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith Compliance Report on Corporate Governance for the Quarter ended on December 31, 2017 (**Annexure-I**).

Please acknowledge the receipt.

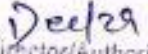
Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

  
Deepa Nanda  
(Director)

For Little Rock Trade And Investment Ltd.

  
Director/Authorised Signatory

SP PATEL NAGAR EAST (110008)  
BSTM No: 37AAGV0037P127  
E0343910685 IN  
Counter Net1.OP-Coder:OP  
To:THE PRESIDENT,  
KOLKATA, PIN:700001  
From:LITTLE ROCK TRADE AND IN LTD, DELHI  
Wt:30grams, 06/01/2018 14:03  
Net:41.00  
COST 0% 3 UTGST 0% 3.00  
<<Track on: www.indiapost.gov.in>>



Encl: Compliance Report on Corporate Governance for Quarter ended on December 31, 2017.

Share Holding Pattern as per Regulation 31(1) of the SEBI Listing Obligations and Disclosure Requirements Regulations 2015

1. Name of Listed Entity: Little Rock Trade and Investment Ltd.
2. Scrip Code/Name of Scrip/Class of Security: 22055 Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b) Regulation 31(1)(b)
- a. If under 31(1)(b) then indicate the report for Quarter ending: December 31, 2017
- b. If under 31(1)(c) then indicate date of allotment/encumbrance: N/A

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?	-	No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3. Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4. Whether the Listed Entity has any shares in locked-in?	-	No
5. Whether any shares held by promoters are pledged or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be declared. In the case of Encumbrance on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity, it shall table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Company (A)	Category of shareholder (B)	No. of shareholders (C)	No. of shares held (D)	No. of fully paid up equity shares held (E)	No. of partly paid up equity shares held (F)	No. of shares underlying Depository Receipts (G)	Total no. shares held (H) = (D)+(E)+(F)+(G) As a % of (A+B+C)	Shareholding as a % of total shares (I) = (H)/(A+B+C) As a % of (A+B+C)	No. of shares Underlying Outstanding convertible securities (including Warrants) (J)	Shareholding as a % of convertible securities (K) = (J)/(A+B+C) As a % of (A+B+C)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered (L)		Number of equity shares held by depository receipts (M)
											No. (N)	As a % of total shares held (O)	No. (P)	As a % of total shares held (Q)	
	Primary & Promoter Group	3	1175000	0	0	0	1175000	90.87	0	0.00	0	0.00	0	0.00	0
	Public	15	114000	0	0	0	114000	9.13	0	0.00	0	0.00	0	0.00	0
	New Promoter/Non Public	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Shares held by Employees/Trusts	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	36	1249000	0	0	0	1249000	100	0	0.00	0	0.00	0	0.00	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholder (A)	P.N. (B)	No. of shares held (C)	No. of fully paid up equity shares held (D)	No. of shares underlying Depository Receipts (E)	Total no. shares held (F) = (C)+(D)+(E) As a % of (A+B+C)	Shareholding % of total shares (G) = (F)/(A+B+C)	Number of Voting Rights held by each class of securities (H)			Total as a % of Total Voting Rights (I)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (J)	Shareholding as a % of convertible securities (K) = (J)/(A+B+C) As a % of (A+B+C)	No. of Locked in shares (L)	As a % of total shares held (M)	Number of Shares pledged or otherwise encumbered (N)	As a % of total shares held (O)	Number of equity shares held by depository receipts (P)
							Class X	Class Y	Total								
Individual/Trust and related Party		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
Central Government/State Government/UT		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
Foreign Institutional Investor/Foreign Body		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
Non-Voting (Stock Corporates)		3	1175000	0	0	90.87	0	0	0	0.00	0	0	0	0	0.00	0	
Mutual Funds/Insurance Co. Ltd.		446990	0	0	446990	35.78	0	0	0	0.00	0	0	0	0	0.00	0	
Promoter/Other Promoter/Pre-Lit		235500	0	0	235500	18.85	0	0	0	0.00	0	0	0	0	0.00	0	



S. No.	Name of Shareholder	Type of Share	No. of Shares	Face Value	No. of Shares Held by Promoters	No. of Shares Held by Directors	No. of Shares Held by Employees	No. of Shares Held by Public	No. of Shares Held by Others	Total Shares Held	Percentage of Total Shares Held	No. of Shares Held by Public		Voting Rights	Total Shares Held by Public	Percentage of Total Shares Held by Public
												Directly Held	Indirectly Held			
1)	Individual Shareholders holding equity shares capital up to Rs. 2 Lakhs		30	25120	0	0	0	0	0	30	0.56	0	0	0	0	0.00
2)	Individual Shareholders holding equity shares capital more than Rs. 2 Lakhs		3	88880	0	0	0	0	0	3	88880	0	0	0	0	0.00
	Share Capital			22880						22880						
	Reserve & Debit			33000						33000						
	Share Premium			35000						35000						
3)	SEI's employed with SEI		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
4)	Employee Trust		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
5)	Demerit Depositors holding (DR) (Shareing Agmt)		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
6)	Any Other (specify)		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
				0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
			33	114000	0	0	0	0	0	33	9.13	0	0	0	0.00	0
	<b>Total Public Shareholding (DR + DRH + DRG) + DRD</b>															<b>0.00</b>

Details of Shareholder which are not disclosed are as follows (in Lakhs):

Details of Shareholder which are not disclosed are as follows (in Lakhs):

Shareholding Pattern of the Company:

S. No.	Name of Shareholder	Type of Share	No. of Shares	Face Value	No. of Shares Held by Promoters	No. of Shares Held by Directors	No. of Shares Held by Employees	No. of Shares Held by Public	No. of Shares Held by Others	Total Shares Held	Percentage of Total Shares Held	No. of Shares Held by Public		Voting Rights	Total Shares Held by Public	Percentage of Total Shares Held by Public
												Directly Held	Indirectly Held			
1)	Individual Shareholders holding equity shares capital up to Rs. 2 Lakhs		30	25120	0	0	0	0	0	30	0.56	0	0	0	0	0.00
2)	Individual Shareholders holding equity shares capital more than Rs. 2 Lakhs		3	88880	0	0	0	0	0	3	88880	0	0	0	0	0.00
	Share Capital			22880						22880						
	Reserve & Debit			33000						33000						
	Share Premium			35000						35000						
3)	SEI's employed with SEI		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
4)	Employee Trust		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
5)	Demerit Depositors holding (DR) (Shareing Agmt)		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
6)	Any Other (specify)		0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
				0	0	0	0	0	0	0	0.00	0	0	0	0.00	0
			33	114000	0	0	0	0	0	33	9.13	0	0	0	0.00	0
	<b>Total Public Shareholding (DR + DRH + DRG) + DRD</b>															<b>0.00</b>

For Little Rock Trade and Investment Ltd.  
Director/Authorized Signatory

*[Signature]*  
Director/Authorized Signatory

Date: 06/01/2018

Director